



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

43

Section #

Initial Patent Application of

Anand et al.

Serial No.: 09/818,719

Filed: March 27, 2001

For: An e-market Architecture for Supporting  
Multiple Roles and Reconfigurable Business Processes

To: Assistant Commissioner for Patents  
Initial Patent Examination Division  
Box Missing Parts  
Washington, D.C. 20231

: Initial Patent Examination  
: Division  
: Box Missing Parts  
:  
: Date: June 25, 2001  
:

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the attached correspondence comprising:

1. Acknowledgment Post Card;
2. Filing of Missing Parts of Application Under 37 CFR 1.63  
(in duplicate);
3. Five (5) Declaration and Power of Attorney Forms;
4. Notice to File Missing Parts of Application (return copy); and
5. Five (5) Assignments to IBM with attached Recordation Form

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents  
Initial Patent Examination Division  
Box Missing Parts  
Washington, DC 20231**

on June 26, 2001

Emily S. Forbes  
(Print name of person certifying)

Emily S. Forbes  
(Signature of person certifying)

Docket YOR920000177



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of : Initial Patent Examination  
Anand et al. : Division  
: Box Missing Parts  
Serial No.: 09/818,719 : Date: June 25, 2001  
Filed: March 27, 2001 : Docket No. YOR20000177  
For: An e-market Architecture for Supporting  
Multiple Roles and Reconfigurable  
Business Processes

RESPONSE TO FILING OF MISSING PARTS OF APPLICATION UNDER 37 CFR  
1.63  
FILING DECLARATION AND POWER OF ATTORNEY  
FILING ASSIGNMENT

To: Assistant Commissioner for Patents  
Initial Patent Examination Division  
Box Missing Parts  
Washington, D.C. 20231

Sir:

On March 27, 2001, the above-identified application was filed with the U.S. Patent and Trademark Office, under Rule 1.53(b) Missing Parts.

Enclosed at this time is an original declaration, which includes the signatures of the nine inventors.

Also attached for filing is the original fully executed Assignment for the above-identified application with the required Recordation Form Cover Sheet.

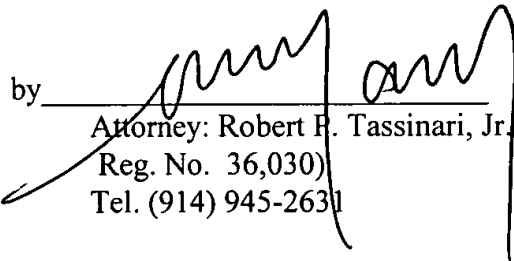
Formal drawings will be timely filed.

The fee of \$170.00 representing both the Surcharge of \$130.00 and Assignment fee of \$40.00 is to be charged to Deposit Account No.: 09-0468. A duplicate copy of this paper is attached.

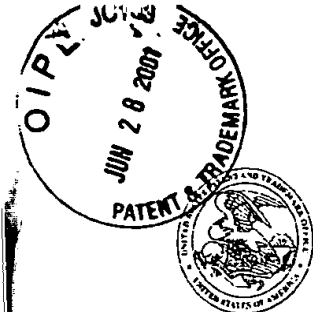
A copy of the "Notice to File Missing Parts of Application-Filing Date Granted", date mailed May 21, 2001, is also attached as per your instructions.



Respectfully submitted,  
Attorney for the Applicants

by   
Attorney: Robert P. Tassinari, Jr.  
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IBM Thomas J. Watson Research Center  
Intellectual Property Law Department  
P.O. Box 218  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/818,719	03/27/2001	Rangachari Anand	YO920000177

CONFIRMATION NO. 1335

## FORMALITIES LETTER

IBM CORPORATION  
INTELLECTUAL PROPERTY LAW DEPT.  
P. O. BOX 218  
YORKTOWN HEIGHTS, NY 10598

Date Mailed: 05/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

07/03/2001 MYUSUF1 00000079 090468 09818719

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE